

# Controlling high blood pressure by treating obstructive sleep apnoea in patients with metabolic syndrome

Florin Mihaltan \*, Constantin Ancuta, Cosei Valentin, Corina Borcea

Department of Pneumology, Carol Davila University of Medicine and Pharmacy, Bucharest, Romania

Received: June 16, 2020, Accepted: August 3, 2020

#### **Abstract**

Systemic hypertension and obstructive sleep apnoea (OSA) are frequently associated with metabolic syndrome. These comorbidities are important for the evolution, prognosis and therapeutic opportunities. In this review, the authors are aiming to analyze the epidemiological data of this combined syndrome and also to identify the benefits of continuous positive airway pressure (CPAP), weight reduction, and diet on systemic hypertension and metabolic syndrome.

Keywords: Systemic hypertension, metabolic syndrome, OSA.

### Introduction and epidemiological data

Respiratory disorders play an important role in determining and increasing the rate and complication of many metabolic pathologies and cardiovascular diseases. Systemic hypertension, metabolic syndrome and obstructive sleep apnoea are emerging public health concerns. Obstructive sleep apnoea (OSA) is a disease with many associated comorbidities. This chronic disease is steadily increasing in the adult population. Between 3 and 5% of middle-aged and older women, and 10–17% of similar-aged men are diagnosed with OSA [1, 2]. OSA patients also display a constellation of metabolic and non-meta-

bolic cardiovascular risk factors typical of metabolic syndrome with many long-term consequences [3].

Metabolic syndrome (MetSy) is a cluster often combining metabolic disorders, such as obesity, dyslipidemia, and insulin resistance, with a cardiovascular alteration such as arterial hypertension or coronary artery disease. The prevalence of the metabolic syn¬drome among men and women (ages ≥ 20 years) was 33.7% and 35.4%, respectively [4]; in black women, it was 57% higher than in black men [5]. The prevalence of moderate to severe OSA is very high (~60%) in patients with metabolic syndrome [6]. The severity of intermittent nocturnal hypoxemia is associated with high values of triglyceride and of high-density lipoprotein (HDL) cholesterol [7]. OSA is a common comorbidity in people with type 2 diabetes mellitus (T2DM) because both OSA and T2DM are linked to a raised body mass index (BMI) and obesity sharing common pathophysiology. There are even some authors suggesting that OSA may be a manifestation of metabolic syndrome ("syndrome Z") (the typical features of the metabolic syndrome (Syndrome X) (namely central

<sup>\*</sup> Correspondence to: Florin MIHALTAN, MD, PhD Carol Davila University of Medicine and Pharmacy, Bucharest, Romania E-mail: mihaltan@starnets.ro

obesity, hypertension, diabetes, and dyslipidemia, with the addition of sleep apnea) [8]. 50% of confirmed diabetes patients have moderate or severe OSA [9]. From an epidemiological point of view, the presence of OSA is still underestimated among cardiac-metabolic disorders; there are studies reporting its presence in 67% of cases with asymptomatic coronary syndrome, and in 51.2% with metabolic syndrome [10, 11]. For the first time, in a merged population of 1853 individuals free of MetSy at baseline, studies showed that moderate to severe OSA was independently associated with a doubled risk of developing MetSy [11].

At the same time, the majority of OSA patients are hypertensive. Independently of other risk factors, they have a substantially greater risk of developing systemic hypertension (HTN) during the 4 years following a diagnosis of moderate or severe OSA [12, 13]. The risk of developing HTN was threefold greater for those with an apnoea/hypopnoea index (AHI) > 15 at baseline in population studies [12]. More than 60% of patients with resistant HTN have OSA with more than 15 apnoea/hypopnea per hour of sleep [14].

Obesity is also one of the strongest risk factors for OSA, and up to 60% of obese individuals are estimated to have OSA [15]. In the screening of hypertensive patients, Chinese authors found that 24.7% had OSA and those who smoke, those who are obese, and those who have diabetes accounted for 31.3%, 27.5%, and 16.6% of total patients, respectively [16]. Also, we must not forget that approximately 70–80% of patients with OSA are also obese [17, 18].

# Pathogenic circle

Patients with sleep apnea exhibit higher levels of sympathetic nervous system activity during wakefulness as well as during sleep, relative to healthy controls [19, 20]. These apnea events induced a decrease of the oxygen and an increase of carbon dioxide levels, which activat—ed the sympathetic nervous system. Finally, a higher level of sympathetic nervous system activity-induced blood vessel constriction, with blood pressure rising to 250/150 mm Hg. Continuous positive airway pressure (CPAP) therapy, as well as alternative OSA treatment approaches (i.e., oral appliance devices), have been shown to lower sympathetic activity in OSA subjects supporting the concept that autonomic nervous system changes are the main drive for nocturnal cardiovascular and hemodynamic alterations in sleep-disordered breathing [21, 22]. However, hypoxemia alone is not mediating HTN; Gotlieb et al. demonstrated that CPAP led to significantly greater benefit in reduc-

ing blood pressure compared to oxygen [23]. OSA increased the number of MetSy risk factors through the mediation of nocturnal hypoxia, leading to increased waist circumference and glucose levels [24]. Other prospective studies on OSA patients with hypertension found that the severity of OSA in this population does not affect the prevalence of Met-Sy. Specifically, they did not observe differences in triglycerides or HDL cholesterol [25. OSA reduces insulin sensitivity by 27%, 37%, and 48% according to the severity of oxygen reduction, defined as modest, moderate, or severe, respectively [26]. An increase in the apnea-hypopnea index (AHI) is associated with an increased risk for impaired glucose tolerance (IGT) and the development of insulin resistance [3]. OSA subjects also show resistance to leptin and a concomitant increase in appetite drive [27]. The presence of high but ineffective levels of leptin in obese T2DM subjects could also be a marker of insulin resistance [28]. Patients with Met-Sy and comorbid OSA have higher blood pressure and higher sympathetic drive compared with patients with MetSy without OSA [29]. The severity of OSA is correlated with MetSy, and arterial stiffness in obese patients and short-term weight reduction therapy improves the metabolic dysfunction and the severity of OSA and arterial stiffness, measured according to the cardio-ankle vascular index [30]. Both MetSy and OSA contribute to the destruction of the microvascular environment, increasing the risk of other cardiovascular complications such as stroke [31].

# Therapy benefits

Concerning the therapeutic benefits of controlling HTN in patients with metabolic syndrome, there are many debates. CPAP is one of the treatment strategies. It was reported a reduction of blood pressure of 2-10 mmHg, but there are also studies showing a reduction of only 2-4 mmHg, probably without any clinical significance [32, 33]. The best answers are coming from patients with difficult-to-treat hypertension, with multiple antihypertensive drugs, but this depends on the compliance and adherence to CPAP of these patients (minimum 4h/per night, regularly administration [34-37]. Combined with medication and weight loss, CPAP therapy is, of course, more efficient [38]. In contrast with other evidence denying the effect of CPAP on metabolic markers, two works in connection with the European Sleep Apnoea Database (ESADA) showed improvements on metabolic variables in response to CPAP therapy. One of them demonstrated a significant decrease in cholesterol after CPAP treatment by including more than 1500 OSA patients [39]. The second one ana-

68 ©The Author(s) 2020

lyzed more than 1600 patients and showed a clinically relevant reduction of glycated hemoglobin levels [40]. In patients with severe OSA and metabolic syndrome, good compliance with CPAP may improve insulin sensitivity, reduce systemic inflammation and oxidative stress, and reduce global cardiovascular diseases (CVD) risk [41]. If it is combined with weight loss (change of nutrition habits) and physical activity, the effect of CPAP on HTN, level of triglycerides, and sensibility to insulin is more important in obese patients with OSA [42].

Dietary weight loss should always be combined with the treatment of OSA. The benefits are not the same. Patients who have OSA due to low arousal threshold or increased chemosensitivity may not have the same benefits as overweight and obese patients who have OSA due to increased collapsibility of their soft neck tissue [43].

Bariatric surgery, another alternative, is not always solving the cardiovascular outcomes. Chirinos et al. demonstrated that weight loss, coupled with CPAP, appeared to offer better benefits on blood pressure more important than the single interventions alone [42]. In obese patients, the severity of OSA is significantly associated with cardiovascular risk factors such as the severity of MetSy and arterial stiffness [30]. Moderate weight loss improved not only metabolic dysfunction but also the severity of OSA, helping to prevent atherosclerosis [30].

Exercise is another strategy to improve OSA and CVD. Exercise improves OSA independent of weight loss [15]. It is clearly a strategy to mitigate CVD risk, even if there is no literature supporting a causative effect of exercise on specifically improving OSA-related CVD outcomes.

# Conclusions

In the future, substantial public health resources will be needed for diagnosis and early treatment of OSA but also of its association with hypertension and metabolic syndrome. Personalized therapy for each subject with the goal of normalizing the quality of life and control or delay the occurrence of comorbidities are important objectives. In addition to CPAP therapy, a comprehensive lifestyle intervention (CLI) program includes a reduced-calorie diet, exercise/increased physical activity, and behavioral counseling [44]. A CLI program that effectively achieves a weight reduction not only improves the AHI but simultaneously impacts the prognosis of coexisting diabetes [45], hypertension, and cardiovascular diseases. Adolescents with obesity are at high risk of developing MetSy and should be frequently screened for OSA to determine the need for treatment and reduce the metabolic burden [46].

#### **Conflict of Interest**

The author confirms that there are no conflicts of interest.

#### References

- 1. Veasey SC, Rosen IM- Obstructive sleep apnea in adults. N Engl J Med 2019,380(15):1442–1449.
- Young T, Peppard PE, Gottlieb DJ Epidemiology of obstructive sleep apnea: a population health perspective. Am J Respir Crit Care Med 2002,165(9):1217–1239).
- Passàli D, Tatti P, Toraldo M, de Benedetto M, Peverini F, Caruso G, Marzetti A, Passàli FM, Bellussi L. OSAS and metabolic diseases: Round Table, 99(th) SIO National Congress, Bari 2012. Acta Otorhinolaryngol Ital. 2014 Jun;34(3):158-66. PMID: 24882924; PM-CID: PMC4035845.
- 4. Ford ES. Prevalence of the metabolic syndrome defined by the International Diabetes Federation among adults in the U.S. Diabetes Care 2005;28:2745-9).
- Ford ES, Giles WH, Dietz WH. Prevalence of the metabolic syn-drome among US adults: findings from the third National Health and Nutrition Examination Survey. JAMA 2002;287:356-9.
- Drager LF, Togeiro SM, Polotsky VY, Lorenzi-Filho G. Obstructive sleep apnea: a cardiometabolic risk in obesity and the metabolic syndrome. J Am Coll Cardiol. 2013;62(7):569-576. doi:10.1016/j.jacc.2013.05.045.
- 7. Trzepizur W, Le Vaillant M, Meslier N, Pigeanne T, Masson P,Humeau MP, et al. Independent association between noctur-nal intermittent hypoxemia and metabolic dyslipidemia. Chest2013;143:1584–9.
- 8. Nock NL, Li L, Larkin EK, et al. Empirical evidence for "syndrome Z": a hierarchical 5-factor model of the metabolic syndrome incorporating sleep disturbance measures. Sleep 2009;32:615-22.
- 9. Heffner JE, Rozenfeld Y, Kai M, Stephens EA, Brown LK. Pre-valence of diagnosed sleep apnea among patients with type 2diabetes in primary care. Chest 2012;141:1414–21).
- Baguet J P, Nadra M, Barone-Rochette G, et al. Early cardiovascular abnormalities in newly diagnosed obstructive sleep apnea. Vasc Health Risk Manag. 2009;5:1063-73).
- Hirotsu C, Haba-Hubio J, Marques-Vidal P, et al. Obstructive sleep apnea as risk factor for incident metabolic syndrome: a multicentric prospective epidemiological study. Eur Respir J 2018; 52: Suppl. 62, OA4968].
- 12. Peppard PE, Young T, PaltaM, Skatrud J Prospective study of the association between sleep-disordered breathing and hypertension. N Engl J Med 200,342(19):1378–1384.
- 13. Somers VK, White DP, Amin R, AbrahamWT, Costa F, Culebras A, Daniels S, Floras JS, Hunt CE, Olson LJ,

©The Author(s) 2020 69

- Pickering TG, Russell R, Woo M, Young T-Sleep apnea and cardiovascular disease: an American Heart Association/American College of Cardiology Foundation scientific statement from the American Heart Association Council for High Blood Pressure Research Professional Education Committee, Council on Clinical Cardiology, Stroke Council, and Council on Cardiovascular Nursing. J Am Coll Cardiol. 2008, 52(8):686–717).
- 14. Pedrosa RP, Drager LF, Gonzaga CC, Sousa MG, de Paula LK, Amaro AC, et al. Obstructive sleep apnea: the most com-mon secondary cause of hypertension associated with resistant hypertension. Hypertension 2011;58:811–7.
- 15. Dobrosielski DA, Papandreou C, Patil SP, Salas-Salvadó J. Diet and exercise in the management of obstructive sleep apnoea and cardiovascular disease risk. Eur Respir Rev. 2017;26(144):160110. Published 2017 Jun 28. doi:10.1183/16000617.0110-2016.
- 16. Wang L, Li N, Yao X, et al. Detection of Secondary Causes and Coexisting Diseases in Hypertensive Patients: OSA and PA Are the Common Causes Associated with Hypertension. Biomed Res Int. 2017;2017:8295010. doi:10.1155/2017/8295010.
- 17. Quan SF, Budhiraja R, Clarke DP, Goodwin JL, Gottlieb DJ, Nichols DA, et al. Impact of treatment with continuous positive airway pressure (CPAP) on weight in obstructive sleep apnea. J Clin Sleep Med (2013) 9(10):989. doi:10.5664/jcsm.30640.
- Whitesell P.L,Obi.J.,Tamanna N.S.,Summer A.E., A
  Review of the Literature Regarding Sleep and Cardiometabolic Disease in African Descent PopulationsFront. Endocrinol., 11 April 2018 | https://doi.
  org/10.3389/fendo.2018.00140.
- Somers VK, Gami AS, Olson LJ. Treating sleep apnea in heart failure patients: promises but still no prizes. J Am Coll Cardiol 2005;45:2012-4.
- 20. Somers VK. Sleep~a new cardiovascular frontier. N Engl J Med 2005;353:2070-73.
- 21. Narkiewicz K, Kato M, Phillips BG, et al. Nocturnal continuous positive airway pressure decreases daytime sympathetic traffic in obstructive sleep apnea. Circulation 1999;100:2332-5.
- 22. Coruzzi P, Gualerzi M, Bernkopf E, et al. Autonomic cardiac modulation in obstructive sleep apnea: effect of an oral jaw-positioning appliance. Chest 2006;130:1362-8.
- 23. Gottlieb DJ, Punjabi NM, Mehra R, Patel SR, Quan SF, Babineau DC, Tracy RP, Rueschman M, Blumenthal RS, Lewis EF, Bhatt DL, Redline S. CPAP versus oxygen in obstructive sleep apnea. N Engl J Med 2014, 370(24):2276–2285).
- 24. Hirotsu C, Haba-Hubio J, Marques-Vidal P, et al. Obstructive sleep apnea as risk factor for incident metabolic syndrome: a multicentric prospective epidemiological study. Eur Respir J 2018; 52: Suppl. 62, OA4968.
- 25. Ernst G, Saban M, Schiavone M, et al. Prevalence of metabolic syndrome from patient with obstructive

- sleep apnea and hypertension. Eur Respir J 2019; 54: Suppl. 63, PA2010).
- Punjabi NM, Beamer BA. Alterations in glucose disposal in sleep-disordered breathing. Am J Respir Crit Care Med 2009;179:235-40.
- 27. Phillips BG, Kato M, Narkiewicz K, et al. Higher leptin levels in OSA, independent of body fat content, suggest that OSA is associated with resistance to the weight reducing effects of Leptin. Am Physiol Heart Circ Physiol 2000;279:234-7.
- 28. Tatti P, Masselli L, Buonanno A, et al. Leptin levels in diabetic and nondiabetic subjects. Endocrine 2001;15:305-8.
- 29. Trombetta IC, Somers VK, Maki-Nunes C, et al. Consequences of comorbid sleep apnea in the metabolic syndrome~implications for cardiovascular risk. Sleep. 2010;33(9):1193-1199. doi:10.1093/sleep/33.9.1193.
- 30. Iguchi A, Yamakage H, Tochiya M, et al. Effects of weight reduction therapy on obstructive sleep apnea syndrome and arterial stiffness in patients with obesity and metabolic syndrome. J Atheroscler Thromb. 2013;20(11):807-820. doi:10.5551/jat.17632.
- Rogers AJ, Kaplan I, Chung A, McFarlane SI, Jean-Louis G, et al. Obstructive Sleep Apnea Risk and Stroke among Blacks with Metabolic Syndrome: Results from Metabolic Syndrome Outcome (MetSO) Registry. Int J Clin Res Trials 2020, 5: 143. doi: https://doi.org/10.15344/2456-8007/2020/143.
- 32. Okcay A, Somers VK, Caples SM. Obstructive sleep apnea and hypertension. J Clin Hypertens (Greenwich) 2008, 10(7):549–555.
- 33. Gagnadoux F, Priou P, Meslier N, Trzepizur W: Effects of sleep apnoea therapy on blood pressure and metabolism: a CPAP sex gap? ERJ.Aug. 2017,50 (2) 1700987; DOI: 10.1183/13993003.00987-2017.
- Javaheri S, Barbe F, Campos-Rodriguez F, Dempsey JA, Khayat R, Javaheri S, Malhotra A, Martinez-Garcia MA, Mehra R, Pack AI, Polotsky VY, Redline S, Somers VK. Sleep apnea: types, mechanisms, and clinical cardiovascular consequences. J Am Coll Cardiol 2017,69 (7):841–858.
- 35. Marrone O, Bonsignore MR: Decrease in blood pressure during positive airway pressure treatment for obstructive sleep apnoea: still searching for predictive factors. Eur Respir J 2019, 54(1).
- 36. Martinez-GarciaMA, Capote F, Campos-Rodriguez F, Lloberes P, Diaz de Atauri MJ, Somoza M,Masa JF, Gonzalez M, Sacristan L,Barbe F et al.Decrease in blood pressure during continuous positive airway pressure treatment for obstructive sleep apnoea: still searching for predictive factors. Eur Respir J 2019, 54(1).
- 37. Marrone O, Bonsignore MR.Effect of CPAP on blood pressure in patients with obstructive sleep apnea and resistant hypertension: the HIPARCO randomized clinical trial. JAMA 2013, 310(22):2407–2415.
- Javaheri S, Campos-Rodriguez F. Outcomes of positive airway pressure for sleep apnea. JAMA 2017,318(20):2042–2043.

70 ©The Author(s) 2020

- Gunduz C, Basoglu OK, Schiza S, et al. The effect of positive airway pressure on cholesterol in patients with sleep apnea: data from the European Sleep Apnea Network (ESADA). Eur Respir J 2019; 54: Suppl. 63, PA2005.
- 40. Tasbakan MS, Grote L, Hedner J, et al. Positive airway pressure treatment reduces glycated hemoglobin (HbA1c) levels in obstructive sleep apnea patients: longitudinal data from the ESADA. Eur Respir J 2019; 54: Suppl. 63,PA2007.
- Dorkova Z, Petrasova D, Molcanyiova A, Popovnakova M, Tkacova R. Effects of continuous positive airway pressure on cardiovascular risk profile in patients with severe obstructive sleep apnea and metabolic syndrome. Chest. 2008;134(4):686-692. doi:10.1378/chest.08-0556).
- 42. Chirinos JA, Gurubhagavatula I, Teff K, Rader DJ, Wadden TA, Townsend R, Foster GD, Maislin G, Saif H, Broderick P et al. CPAP, weight loss, or both for obstructive sleep apnea. N Engl J Med. 2014, 370(24):2265–2275.

- 43. Collen, J., Lettieri, C., Wickwire, E. et al. Obstructive sleep apnea and cardiovascular disease, a story of confounders!. Sleep Breath (2020).https://doi.org/10.1007/s11325-019-01945-w.
- 44. Marin-Oto, M., Vicente, E.E. & Marin, J.M. Long term management of obstructive sleep apnea and its comorbidities. Multidiscip Respir Med 2019,14, 21. https://doi.org/10.1186/s40248-019-0186-3.
- 45. Hudgel DW, Patel SR, Ahasic AM, Bartlett SJ, Bessesen DH, Coaker MA, et al. American Thoracic Society assembly on sleep and respiratory neurobiology. The role of weight management in the treatment of adult obstructive sleep apnea: an official American Thoracic Society clinical practice guideline. Am J Respir Crit Care Med. 2018;198:e70–87.
- Patinkin ZW, Feinn R, Santos M. Metabolic Consequences of Obstructive Sleep Apnea in Adolescents with Obesity: A Systematic Literature Review and Meta-Analysis. Child Obes. 2017;13(2):102-110. doi:10.1089/chi.2016.0248.

©The Author(s) 2020 71